

b) Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Name of Entity/Company/ Corporate Trustee	
Name of Trust	

Name of Contact Person

Title (Dr/Mr/Mrs/Ms/Miss)		Surname	
Given name(s)			
Date of birth		/	
Residential address			
Suburb		State	
Mailing address (if different from above)			
Suburb		State	
Phone (bh)		Phone (ah)	
Phone (mobile)		Fax	
Email			

Step 2

Section A: Fixed Term Arrangement (FTA). (Pursuit Focus and Select only)

(Not available for Pursuit Core)

Please complete this section if you wish to enter into a fixed term arrangement for the following fees:

- Investor Advice Fee

An FTA requires a start date and end date. The end date cannot exceed 12 months from the start date. The start and end dates will apply to **all fees** in the FTA. The FTA start date cannot be more than 30 days in the future from the date of receipt.

Indexation is not available on an FTA.

Important note: An account can have only one FTA at any time. A new FTA will supersede any existing FTA.

Please ensure you take into account any existing unexpired FTA when submitting a new FTA.

You **cannot** elect to have an Investor Advice Fee – Upfront if you have agreed to have an Investor Advice Fee on an FTA.

1 Please provide the Start date and End date of the FTA:

Start date	
End date	

2 Complete the relevant section in Section B that forms part of the FTA.

Section B: Investor advice fees

Important notes:

- Asset-based fees cannot be charged where geared or borrowed funds have been used to invest. This means a percentage-based investor advice fee cannot be charged where you have borrowed funds through a margin lending facility. This restriction also applies where any other borrowed funds have been used to invest, in any form.
- The investor advice fee(s) paid to your financial adviser are inclusive of GST. The actual amount deducted from your account may be less than the amount quoted. This is because IOOF Pursuit Investment Service (the Service) may be able to claim a reduced input tax credit (RITC) on some of these fees. Where the Service is able to claim an RITC the benefit is passed on to you which effectively reduces the fee. Note that the RITC rules are subject to change and this may impact the RITC available in the future.

(1) Investor Advice Fee – Upfront

Note: This fee cannot be offered in conjunction with an FTA.

You can agree to nominate a different Investor Advice Fee – Upfront for each of the following types of deposits:

Up to a maximum of 5.5% (inclusive of GST) of each deposit.

Initial or additional (one-off) deposits received via cheque % (inclusive of GST)

Deposits received via Direct Debit Request (one-off and Regular Savings Plan) and BPAY % (inclusive of GST)

Important note: Any existing Investor Advice Fee – Upfront arrangements will be replaced if you provide new Investor Advice Fee – Upfront instructions in this form.

(2) Investor Advice Fee (FTA or Ongoing)

The available fee options are:

(a) Percentage based fee options – flat percentage **or** tiered percentage

Flat percentage fee

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance. % per annum (inclusive of GST)

OR

Tiered percentage fee (Pursuit Select and Pursuit Focus only)

- Up to a maximum of 2.2% pa (inclusive of GST) can be applied to the amount for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.
- The default fee will be 0% if no nomination is made.
- Up to a maximum of 5 tiers.

		Amount	% pa (inclusive of GST)
Tier 1	Nil to	\$ <input type="text"/>	<input type="text"/>
Tier 2	Next	\$ <input type="text"/>	<input type="text"/>
Tier 3	Next	\$ <input type="text"/>	<input type="text"/>
Tier 4	Next	\$ <input type="text"/>	<input type="text"/>
Tier 5	Next	\$ <input type="text"/>	<input type="text"/>

AND/OR

(b) Flat dollar fee

Up to a maximum of \$1,500 per month (inclusive of GST) \$ per month (inclusive of GST)

Automatic indexation of flat dollar fee

Indexation is not available on an FTA.

Would you like your flat dollar Investor Advice Fee to be automatically indexed each year?

No Yes (complete steps below)

Please specify how you would like your Investor Advice Fee to be automatically indexed each year.

CPI Fixed % (up to a maximum of 10% per annum)

Please specify the date for the first indexation to occur (indexation will occur annually on this date thereafter).

Indexation commencement date / /

If no instruction is received, the default will be 'No' and automatic indexation will not be applied to the flat dollar Investor Advice Fee.

If indexation is selected but no indexation commencement date is provided, the indexation commencement date will be 12 months from the account inception date.

Important note: Any existing Investor Advice Fee arrangements will be replaced if you provide new Investor Advice Fee instructions in this form.

(3) Investor Advice Fee – One-Off

A maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance \$ per request (inclusive of GST)

Important note: Any existing Investor Advice Fee – Upfront, Investor Advice Fee, Investor Advice Fee – Transaction, arrangements will not be affected if you provide an Investor Advice Fee – One-Off instruction in this form.

(4) Investor Advice Fee – Transaction (Pursuit Select only)

Up to a maximum of \$110 per order (inclusive of GST).

Listed Investment Buy \$ per order (inclusive of GST)

Listed Investment Sell \$ per order (inclusive of GST)

Important note: Any existing Investor Advice Fee – Transaction arrangements will be replaced if you provide new Investor Advice Fee – Transaction instructions in this form.

Step 3: Investor declaration and signature(s)

Investor advice fees

- I authorise the Service Operator to charge the investor advice fee(s) selected in Step 2 against my account.
- The amount of any investor advice fee(s) that we pay to the financial adviser or their Licensee, as agreed by you, will be an additional cost to you and charged against your account. We shall not charge a investor advice fee unless you tell us to do so.
- Any agreed investor advice fee(s) will be charged by us to your account and paid in full to the financial adviser or their Licensee, until you instruct us to cease payment or when you change your nominated financial adviser.

Note for joint Investors

- If the account is in joint names, then both investors are required to sign.

Note for Power of Attorney

If this form is signed under a Power of Attorney, please enclose a certified copy of the Power of Attorney with this form. If signed under a Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

Note for corporate investors

This form must be signed either:

- under common seal,
- by two directors or a director and the company secretary, or
- by the sole director (where applicable) who is also the sole company secretary.

Privacy

The Service Operator collects the information in this form for the purpose of updating the information it holds about you. Any personal information provided in this form will be handled in accordance with the Privacy Policy at www.ioof.com.au/privacy. If you do not provide all of the requested information, we may not be able to action your request.

Individual Investor or Joint Investors

Signatory 1	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 100%; height: 20px;" type="text"/>	/	<input style="width: 100%; height: 20px;" type="text"/>	/	<input style="width: 100%; height: 20px;" type="text"/>
Full name	<input style="width: 100%; height: 25px;" type="text"/>						
Signatory 2 (if applicable)	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 60px; height: 20px;" type="text"/>
Full name	<input style="width: 100%; height: 25px;" type="text"/>						

Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Signatory 1	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 100%; height: 20px;" type="text"/>	/	<input style="width: 100%; height: 20px;" type="text"/>	/	<input style="width: 100%; height: 20px;" type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input style="width: 100%; height: 25px;" type="text"/>						
Full name	<input style="width: 100%; height: 25px;" type="text"/>						
Signatory 2	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 60px; height: 20px;" type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input style="width: 100%; height: 25px;" type="text"/>						
Full name	<input style="width: 100%; height: 25px;" type="text"/>						
Signatory 3 (for additional Trustees if applicable)	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 60px; height: 20px;" type="text"/>
Title (if applicable)	<input style="width: 100%; height: 25px;" type="text"/>						
Full name	<input style="width: 100%; height: 25px;" type="text"/>						
Signatory 4 (for additional Trustees if applicable)	<input style="width: 100%; height: 40px;" type="text"/>	Date	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 40px; height: 20px;" type="text"/>	/	<input style="width: 60px; height: 20px;" type="text"/>
Title (if applicable)	<input style="width: 100%; height: 25px;" type="text"/>						
Full name	<input style="width: 100%; height: 25px;" type="text"/>						
Common seal (of company) if required	<input style="width: 100%; height: 60px;" type="text"/>						

Step 4: Adviser Declaration

I confirm that fees have been fully explained to the applicant.

Adviser name																																			
Licensee name																																			
Contact name																																			
AFS license number							Adviser code							Dealer code																					
Adviser signature																									Date			/			/				

Please sign and return this form to

Post IOOF Pursuit, GPO Box 264 Melbourne VIC 3001
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