



## Step 2: Investor Advice Fees

- Asset-based fees cannot be charged where geared or borrowed funds have been used to invest. This means a percentage-based investor advice fee cannot be charged where you have borrowed funds through a margin lending facility. This restriction also applies where any other borrowed funds have been used to invest, in any form.
- IOOF Investment Services Limited (IISL) as service operator of your account, is required to obtain specific written consent before a fee for financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted.
- You may revoke your consent at any time by contacting IISL via the options at the end of this form. Once your consent is revoked, no further fees will be deducted from your account however any amounts paid before you revoke your consent will not be automatically refunded.
- The default value for each advice fee is 0% or \$0, unless you agree and specify otherwise below in conjunction with your financial adviser.
- The actual amount deducted from your account may be less than the amount quoted. This is because the Fund may be able to claim a reduced input tax credit (RITC) on some of these fees. Where the Fund is able to claim an RITC the benefit is passed on to you which effectively reduces the fee. Note that the RITC rules are subject to change and this may impact the RITC available in the future.
- Fees should be provided including GST. Blank boxes will default to nil.
- For further information on advice fees, please refer to the Offer Document.

### Section A: Fixed Term Arrangement (FTA) (Pursuit Select only, not available for Pursuit Core)

Please complete this section if you wish to enter into a fixed term arrangement for the following fees:

- Investor Advice Fee (FTA)

Fixed Terms Arrangement (FTA) is for fees that cover a 12 month period or less. The form must be signed within 90 days of the start date. If the supplied start date is in the past, the start date will default to the date the form is processed.

You **cannot** elect to have an Investor Advice Fee – Upfront if you have agreed to have an Investor Advice Fee on an FTA

Please provide the start date and end date of the FTA:

Start date  /  /

End date  /  /

Complete the relevant fee details in Section B that form part of the FTA.

### Section B: Investor advice fees

If you have selected an Investor Advice Fee – Upfront or an Investor Advice Fee – Ongoing please provide your consent end date below:

Consent end date (required)  /  /

The consent end date is the date when all ongoing fees will end if we have not received your consent to continue. Client consent to an ongoing fee arrangement ends 150 days after the next anniversary date. A consent end date is not required where you have selected a FTA above.

#### (1) Investor Advice Fee – Upfront

**Note:** This fee cannot be offered in conjunction with an FTA.

You can agree to nominate a different Investor Advice Fee – Upfront for each of the following types of deposits:

Up to a maximum of 5.5% (inclusive of GST) of each deposit.

Initial or additional (one-off) deposits received via cheque  % (inclusive of GST)

Deposits received via Direct Debit Request (one-off and Regular Savings Plan) and BPAY  % (inclusive of GST)

Your adviser has estimated a fee of \$  for the 12 months commencing  /  /

This fee will be calculated using the percentage fee above and applied against your deposit amount and will be charged to your account as deposits are received.

## (2) Investor Advice Fee (FTA or Ongoing)

The available fee options are:

### (a) Percentage based fee options – flat percentage or tiered percentage

#### Flat percentage fee

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance.  % per annum (inclusive of GST)

OR

#### Tiered percentage fee (Pursuit Select only)

- Up to a maximum of 2.2% pa (inclusive of GST) can be applied to the amount for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.
- The default fee will be 0% if no nomination is made.
- Up to a maximum of 5 tiers.

		Amount	% pa (inclusive of GST)
<b>Tier 1</b>	Nil to	\$ <input type="text"/>	<input type="text"/>
<b>Tier 2</b>	Next	\$ <input type="text"/>	<input type="text"/>
<b>Tier 3</b>	Next	\$ <input type="text"/>	<input type="text"/>
<b>Tier 4</b>	Next	\$ <input type="text"/>	<input type="text"/>
<b>Tier 5</b>	Next	\$ <input type="text"/>	<input type="text"/>

Your adviser has estimated a fee of \$  for the 12 months or FTA commencing  /  /

This fee will be calculated daily using the percentage fee above applied against your daily account balance and will be charged to your account monthly in arrears at the beginning of each month.

AND/OR

#### (b) Flat dollar fee

Up to a maximum of \$1,500 per month (inclusive of GST) \$  per month (inclusive of GST)

## (3) Investor Advice Fee – One-Off

A maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance \$  per request (inclusive of GST)

### Step 3: Investor declaration and signature(s)

#### Investor advice fees

- I authorise the Service Operator to charge the investor advice fee(s) selected in Step 2 against my account.
- The amount of any investor advice fee(s) that we pay to the financial adviser or their Licensee, as agreed by you, will be an additional cost to you and charged against your account. We shall not charge a investor advice fee unless you tell us to do so.
- Any agreed investor advice fee(s) will be charged by us to your account and paid in full to the financial adviser or their Licensee, until you instruct us to cease payment or when you change your nominated financial adviser.
- Where I have chosen Ongoing fees, I understand the consent for IISL to pay the ongoing fees to my adviser will cease on the consent end date, which is 150 days after the next anniversary date for my ongoing fee arrangement.
- I understand my consent for one-off fees will last until the one-off fee is paid.
- I understand my consent for a fixed term arrangement, will last until the end date stated above.
- I understand I can withdraw my consent or vary the ongoing fee arrangement at any time by notice in writing to my adviser, or by contacting ClientFirst.
- I understand I can withdraw my consent at any time before the cost is passed on to me by contacting the Trustee before the fee is paid.

#### Note for joint Investors

- If the account is in joint names, then both investors are required to sign.

#### Note for Power of Attorney

If this form is signed under a Power of Attorney, please enclose a certified copy of the Power of Attorney with this form. If signed under a Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

#### Note for corporate investors

This form must be signed either:

- under common seal,
- by two directors or a director and the company secretary, or
- by the sole director (where applicable) who is also the sole company secretary.

#### Privacy

The Service Operator collects the information in this form for the purpose of updating the information it holds about you. Any personal information provided in this form will be handled in accordance with the Privacy Policy at [www.ioof.com.au/privacy](http://www.ioof.com.au/privacy). If you do not provide all of the requested information, we may not be able to action your request.

#### Individual Investor or Joint Investors

Signatory 1		Date	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>
Full name			
Signatory 2 (if applicable)		Date	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> / <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>
Full name			

### Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Signatory 1	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input type="text"/>									
Full name	<input type="text"/>									
Signatory 2	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input type="text"/>									
Full name	<input type="text"/>									
Signatory 3 (for additional Trustees if applicable)	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (if applicable)	<input type="text"/>									
Full name	<input type="text"/>									
Signatory 4 (for additional Trustees if applicable)	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (if applicable)	<input type="text"/>									
Full name	<input type="text"/>									
Common seal (of company) if required	<input type="text"/>									

### Step 4: Adviser Declaration

I confirm that fees have been fully explained to the applicant.

Adviser name	<input type="text"/>									
Licensee name	<input type="text"/>									
Contact name	<input type="text"/>									
Phone (bh)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Phone (mobile)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email	<input type="text"/>									
AFS license number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Adviser code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Adviser signature	<input type="text"/>									
		Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Please sign and return this form to**

**Post** IOOF Pursuit, GPO Box 264 Melbourne VIC 3001  
**Telephone** 1800 913 118  
**Email** clientfirst@ioof.com.au  
**Web** www.ioof.com.au