

29 November 2013

Investor advice fees

Please use this form to elect or make amendments to investor advice fees for the following products:

- IOOF Portfolio Service – Investments
- IOOF Portfolio Service Wholesale – Investments
- Financial Partnership Portfolio Service – Investments
- Bendigo Financial Solutions – Personal Investments
- LifeTrack – Investment Service

Please complete the instructions in BLACK INK using CAPITAL LETTERS (except for your email address) and ✓ boxes where provided.

Step 1: Your personal details

a) Individual or joint investors

Investor 1

Title (Dr/Mr/Mrs/Ms/Miss)	<input type="text"/>	Surname	<input type="text"/>
Given name(s)	<input type="text"/>		
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>		
Residential address	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Mailing address (if different from above)	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Phone (bh)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Phone (ah)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Phone (mobile)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Fax	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email	<input type="text"/>		

Investor 2

Title (Dr/Mr/Mrs/Ms/Miss)	<input type="text"/>	Surname	<input type="text"/>
Given name(s)	<input type="text"/>		
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>		
Residential address	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Mailing address (if different from above)	<input type="text"/>		
Suburb	<input type="text"/>	State	<input type="text"/>
Phone (bh)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Phone (ah)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Phone (mobile)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Fax	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email	<input type="text"/>		

b) Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Name of Entity/Company/
Corporate Trustee

Name of Trust

Name of Contact Person

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Date of birth / /

Residential address

Suburb State Postcode

Mailing address
(if different from above)

Suburb State Postcode

Phone (bh) Phone (ah)

Phone (mobile) Fax

Email

Step 2: Investor advice fees

Important note: Asset-based fees cannot be charged where geared or borrowed funds have been used to invest. This means a percentage-based investor advice fee cannot be charged where you have borrowed funds through a margin lending facility. This restriction also applies where any other borrowed funds have been used to invest, in any form.

(a) Investor Advice Fee – Upfront

Up to a maximum of 5.5% (inclusive of GST) of each deposit.

You can agree to nominate a different Investor Advice Fee – Upfront for each of the following types of deposits:

Initial or additional (one-off) deposits received by cheque % (inclusive of GST)

Deposits received through a Regular Savings Plan/BPAY® % (inclusive of GST)

(b) Investor Advice Fee – Ongoing

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance.

For IOOF Portfolio Service Wholesale the maximum is 3.95% per annum (inclusive of GST) of your account balance.

For LifeTrack the maximum is 2.5% per annum (inclusive of GST) of your account balance.

Percentage fee % per annum (inclusive of GST)

AND/OR

Up to a maximum of \$1,500 per month (inclusive of GST).

Flat fee (dollar amount) \$ per month (inclusive of GST)

(c) Investor Advice Fee – One-Off

Up to a maximum of \$11,000 (inclusive of GST). \$ per request (inclusive of GST)

(d) Investor Advice Fee – Licensee (IOOF Portfolio Service only)

Up to a maximum of 1.1% per annum (inclusive of GST) of your account balance.

Percentage fee % per annum (inclusive of GST)

OR

Up to a maximum of \$750 per month (inclusive of GST)

Flat fee (dollar amount) \$ per month (inclusive of GST)

Step 3: Investor declaration and signature(s)

Investor advice fees

- I authorise the Service Operator to charge the investor advice fee(s) selected in Step 2 against my account.
- The amount of any investor advice fee(s) that we pay to the financial adviser or their Licensee, as agreed by you, will be an additional cost to you and charged against your account. We shall not charge an investor advice fee unless you tell us to do so.
- Any agreed investor advice fee(s) will be charged by us to your account and paid in full to the financial adviser or their Licensee, until you instruct us to cease payment or when you change your nominated financial adviser.

Note for joint Investors

- If the account is in joint names, then both investors are required to sign.

Note for Power of Attorney

If this form is signed under a Power of Attorney, please enclose a certified copy of the Power of Attorney with this form. If signed under a Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

Note for corporate investors

This form must be signed either:

- under common seal,
- by two directors or a director and the company secretary, or
- by the sole director (where applicable) who is also the sole company secretary.

Individual Investor or Joint Investors

Signatory 1	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Full name	<input type="text"/>									
Signatory 2 (if applicable)	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Full name	<input type="text"/>									

Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Signatory 1	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input type="text"/>									
Full name	<input type="text"/>									
Signatory 2	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title (such as Director/Sole Director/Company Secretary)	<input type="text"/>									
Full name	<input type="text"/>									

Signatory 3 (for additional Trustees if applicable)

Date / /

Title (if applicable)

Full name

Signatory 4 (for additional Trustees if applicable)

Date / /

Title (if applicable)

Full name

Common seal
(of company) if required

Please sign and return this form to

Post IOOF, Reply Paid 264, Melbourne, VIC 8060

Email clientservices@ioof.com.au

Facsimile 1800 558 539

Enquiries 1800 062 963