

Use this form to indicate which investments you wish to invest in, how you want to manage your income distributions, to switch between existing investment options or provide a Re-weight Instruction, to establish new Investment Instructions and/or make an initial or one-off contribution.

Step 1: Your personal details

Account type

- ☐ IOOF Pursuit Focus Personal Superannuation
- ☐ IOOF Pursuit Focus Allocated Pension

Status of applicant/member

- ☐ New member
- ☐ Existing member

Applicant/member details

[illegible]

Step 2: Investment Instructions – Specific/Initial, Primary and Re-weight

Please note:

- Please ensure that the Primary Instruction or the Initial Investment Instruction (if applicable) include at least the default minimum allocation of 1% for personal super and 3% for an allocated pension against the Cash Account.
- The percentages allocated to the Cash Account and your selected investment option(s) must be whole numbers and add up to 100%.
- Where you supply an Initial Instruction in Step 2(b), this instruction only applies to a contribution made by cheque attached to this form OR the Initial contribution details noted in your Application.

APIR code	Asset code	Name of investment option(s)	Step 2(a)	Step 2(b)			Step 2(c)
			Primary Instruction	Initial Instruction (if different to Primary Instruction)			Re-weight Instruction
	CA0001	Cash Account (mandatory)	MANDATORY %	\$ MANDATORY	OR	MANDATORY %	MANDATORY %
Investor Choice							
Global Fixed Interest							
Diversified							
ETL0329AU	UU1479	Alpha Diversified Income Fund	%	\$	OR	%	%
Enhanced Yields							
ETL0093AU	UU1119	Alpha Enhanced Yield Fund	%	\$	OR	%	%
Australian Shares							
Large Companies							
ETL0091AU	UU1115	Alpha Australian Blue Chip Fund	%	\$	OR	%	%
Mid/Small Companies							
ETL0092AU	UU1116	Alpha Australian Small Companies Fund	%	\$	OR	%	%
Global Shares							
Large Companies (Unhedged/Active Hedged)							
ETL0094AU	UU1117	Alpha Global Opportunities Fund	%	\$	OR	%	%
Property							
Global Property (Listed)							
ETL0095AU	UU1118	Alpha Property Securities Fund	%	\$	OR	%	%
Infrastructure							
Global Infrastructure (Listed)							
ETL0319AU	UU1478	Alpha Infrastructure Fund	%	\$	OR	%	%
Alternative Investments							
ETL0318AU	UU1477	Alpha Alternatives Fund	%	\$	OR	%	%
Diversified – Conservative							
UBS0036AU	UU1475	UBS Tactical Beta Fund – Conservative	%	\$	OR	%	%
Diversified – Balanced							
UBS0041AU	UU1476	UBS Tactical Beta Fund-Balanced	%	\$	OR	%	%
Diversified – Growth							
UBS0037AU	UU1474	UBS Tactical Beta Fund – Growth	%	\$	OR	%	%
You can include new investment option(s) added since this form was printed below							
			%	\$	OR	%	%
			%	\$	OR	%	%
			%	\$	OR	%	%
Total			%	\$	OR	%	%

If % used, must add up to 100% including the Cash Account allocation

Step 3: Changing your Primary instruction (for existing members only)

I direct the Trustee to change my Primary Instruction (my main Investment Instruction) in accordance with:

☐

the instructions provided in the Primary Instruction column in the table in Step 2 (a).

OR

☐

the instructions provided in the Re-weight column in the table in Step 2 (c)

Step 4: Switch Instruction (for existing members only)

Please indicate which investment option(s) you wish to redeem units in and which investment option(s) you wish to purchase units in.

FROM

% of investment option¹

**OR \$ amount of
investment option**

Name of investment option(s)

APIR code

TO

% of redeemed funds

to be invested

Name of investment option(s)

APIR code

¹ Please ensure that the total amount is equal to 100%.

Please note: switch requests cannot be finalised until proceeds from all redeemed investment options have been deposited into your Cash Account. You may incur a buy/sell spread or capital gains tax when switching between investment options.

Step 5: Income distributions

I direct the Trustee to manage any income distributions that I receive from my investment option(s) as follows:

☐ **Re-invest (default option)**

Re-invest 100% of the income distributions back into the same managed investment that made the income distribution. This is the default option, which means it will apply automatically even if a selection is not made.

OR

☐ **Retain in Cash Account**

Leave all income distributions to accumulate in my Cash Account.

Step 6: Cash Account top-up

If the balance in your Cash Account is likely to fall below zero in the next seven days, you authorise the Trustee to top up the balance to the minimum Cash Account requirement of:

- 1% of your account balance for IOOF Pursuit Focus Personal Superannuation
- 3% of your account balance for IOOF Pursuit Focus Allocated Pension.

PLUS amounts expected to fall due within the next seven days.

If you would like to set a higher minimum Cash Account requirement, please specify the percentage amount here: %

We will 'top up' your Cash Account balance by redeeming the necessary amount from your managed investments (without prior notice to you) with the highest balance.

Step 7: Member/Applicant declaration and signature

In signing this Investment Instructions form, I declare that:

Investment Instructions²

- The Trustee, IOOF Investment Management Limited (IIML), ABN 53 006 695 021, AFS Licence No. 230524 is directed to process the Investment Instructions specified on this form.
- The Investment Instructions provided in this form override any previous Investment Instructions.
- My main Investment Instruction will be my Primary Instruction and will be used for my initial contribution and all additional contributions (less any Member Advice Fee – Upfront), unless I provide the Trustee with alternate instructions. I understand that this does not apply to the transfer of investments from any existing account within the IOOF Pursuit or IOOF Portfolio Service product suite.
- If I have omitted to insert an amount against the Cash Account in my Investment Instruction, I authorise the Trustee to deduct the amount necessary from the investment option with the highest percentage allocation to satisfy the required minimum allocation to the Cash Account.
- If there are two or more investment options that share the highest percentage allocation, I authorise the Trustee to deduct the amount necessary from the first of such investment options that share the highest percentage allocation and that is listed in the table to satisfy the default minimum allocation to the Cash Account.

Restricted investments

- I acknowledge that if I make an investment in an investment option that is designated as a restricted investment (in the investment menu as updated on the IOOF website), I have been informed that:
 - the Trustee is not required to transfer the whole of my withdrawal benefit (or a partial amount requested to be transferred) within 30 days after receiving all information prescribed by Super law (including all information that is necessary to process my request)
 - the reasons why an investment is illiquid is due to the underlying fund manager imposing withdrawal restrictions or having the ability to extend the withdrawal period in certain market conditions
 - the maximum period in which a transfer must be effected is the period set out in the underlying product disclosure statement for the restricted investment which may be up to 365 days for some restricted investments.
- I understand and accept that a period longer than the 30 days mentioned above is required (possibly in respect of the whole of the requested transfer amount) because of the illiquid nature of the investment.

Product disclosure statement for managed investment options

- I have received (either from the IOOF website or from a financial adviser), read and understood the current product disclosure statement(s) of the underlying managed investments I have selected.
- The Trustee provided me with the product disclosure statement(s) for the underlying managed investments I have selected via the IOOF website and I agree to receive these product disclosure statement(s) by obtaining them from the website.
- I may not have the most current product disclosure statement and continuous disclosure information for a managed investment when switches and/or further investments are made into the Fund.

Investment options

- If I choose not to acquire the services of a financial adviser, I understand the risks and effects of this investment and take full responsibility for my choice of investment options.
- I acknowledge that certain investment options are only available if I am a client of a particular financial adviser or AFS Licensee, and if I cease to be a client of that financial adviser or AFS Licensee, I will not be able to make any new investments into those exclusive investment options (see the notes to the Investment Options Menu in the IOOF Pursuit Focus Investment Guide PFO.14 for information on these exclusive investment options).

Switch Instruction

- If I have given the Trustee a switch instruction, it:
 - must satisfy the minimum requirements as set out in the relevant PDS
 - cannot be finalised until proceeds from all redeemed investment options have been deposited into my Cash Account
 - will normally be finalised within 10 business days following the receipt of my switch request
 - may give rise to a buy/sell spread or capital gains tax.

Privacy

The Trustee collects the information in this form for the purpose of updating the information it holds about you. The information provided in this form will be used in accordance with the Privacy Policy at www.ioof.com.au/privacy.

Power of Attorney

If this form is signed under a Power of Attorney, please enclose a certified copy of the Power of Attorney with this form. If signed under Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

Member/Applicant signature

Signature

Date

 / /

Please sign and return this form to:

Post IOOF Pursuit, Reply Paid 264, Melbourne, VIC 8060
Email PursuitApplications@ioof.com.au
Facsimile 1800 558 539
Enquiries 1800 062 963
Trustee IOOF Investment Management Limited, ABN 53 006 695 021, AFS Licence No. 230524