



IOOF

3 July 2025

Member Advice Fees

- IOOF Employer Super
- IOOF Personal Super
- IOOF Pension

Please complete these instructions in BLACK INK using CAPITAL LETTERS.

Account number

Step 1: Your personal details

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Postal address

Suburb State Postcode

Step 2: Member advice fees

If you're a MySuper member you can only select an Advice Fee – One-off using this form.

Alternatively, you can opt out of MySuper at any time by completing the Switching Instructions form or by logging into your account. Forms can be found at ioof.com.au/forms.

Choose your fee option. Fees should be provided including GST. Blank boxes will default to nil.

- IOOF Investment Management Limited (IIML), as trustee of the IOOF Portfolio Service Superannuation Fund, is required to obtain specific written consent before a fee for personal financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted.
- You may choose not to give your consent to the arrangement or revoke your consent at any time by notifying your adviser or IIML. If your consent is not given or is revoked, the arrangement will terminate, no further advice will be provided and no further fees will be charged from your account. However, any amounts charged before you revoke your consent will not be automatically refunded.
- You cannot request a fee to be deducted from your IOOF Employer Super, IOOF Personal Super or IOOF Pension account for advice provided, if it is:
 - not related to your IOOF Employer Super, IOOF Personal Super or IOOF Pension account; or
 - related to another member or non-member, including a spouse; or
 - for general advice.
- On termination of a fee arrangement, accrued but undeducted Advice Fees may be deducted after the termination date.
- Where advice fees are deducted monthly they are deducted monthly in arrears.
- The default value for each advice fee is 0% or \$0, unless you agree and specify otherwise below in conjunction with your financial adviser.
- Advice fees can only be deducted from your super or pension account if they relate to personal financial advice you receive about your super or pension benefits, and insurance and investments within your IOOF Employer Super, IOOF Personal Super or IOOF Pension account.
- Advice fee(s) paid to your financial adviser and their Licensee are inclusive of GST.
- For further information on advice fees, please refer to the Product Disclosure Statement.

Which fee type would you like to establish?

- ☐ Member Advice Fee – One-Off (please complete Section A)
- ☐ Member Advice Fee – Ongoing (please complete section B)
- ☐ Member Advice Fee – Fixed Term Arrangement (please complete Section C)

Section A – Member Advice fee – One-off

As agreed with your nominated financial adviser, you authorise \$ (inclusive of GST) to be applied to your account for personal financial advice.

We will only deduct a maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance. A new advice fee form must be supplied each time you wish this fee to be applied, and must be for separate advice requested.

Please select the services being provided – For financial adviser completion:

- ☐ Review of your account
- ☐ Strategic super or pension advice
- ☐ Investment advice on your account
- ☐ Contribution strategy
- ☐ Insurance in super strategy
- ☐ Withdrawal advice.

Section B – Member Advice Fee – Ongoing

Note: Please note this fee cannot be offered in conjunction with a fixed term arrangement.

If you have selected an Advice Fee – Ongoing please provide your consent end date below.

The start date is optional, if no start date is provided fees will commence on the date this form is processed. This form must be signed no more than 90 days prior to the start date.

Start date (optional) / /

Consent end date (required) / /

Client consent to an ongoing fee arrangement will end and we will stop charging fees on the consent end date. Note: The consent end date cannot be more than 12 months plus 150 days after the date of the below member consent, or where the member has agreed to the ongoing fee arrangement commencing on the start date selected above, 12 months plus 150 days from that date.

The available fee options are:

(a) Percentage-based fee

Flat percentage fee

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance % per annum (inclusive of GST)

Your adviser has estimated a fee of \$ for the 12 months commencing / /

This fee will be calculated daily using the percentage fee above applied against your daily account balance and will be charged to your account monthly.

AND/OR

(b) Flat dollar fee

Up to a maximum of \$18,000 per annum (inclusive of GST) unless agreed otherwise. \$ per annum (inclusive of GST).

This fee will be pro-rated based on the number of days in the month and deducted monthly.

Please select the services being provided – For financial adviser completion:

- ☐ Review of your account
- ☐ Strategic super or pension advice
- ☐ Investment advice on your account
- ☐ Contribution strategy
- ☐ Insurance in super strategy
- ☐ Withdrawal advice

Section C – Member Advice fee – Fixed Term Arrangement

A Fixed Term Arrangement is for fees that cover a 12 month period or less. The form must be signed within 90 days of the start date. If the supplied start date is in the past, the start date will default to the date the form is processed.

Start date / / End date / /

The fees available under a Fixed Term Arrangement are listed below. You must complete the services being provided for at the bottom of this section.

The available fee options are:

(a) Percentage-based fee**Flat percentage fee**

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance % per annum (inclusive of GST)

Your adviser has estimated a fee of \$ for the term of the Fixed Term Arrangement.

This fee will be calculated daily using the percentage fee above, your daily account balance and will be charged to your account monthly.

AND/OR

(b) Flat dollar fee

Up to a maximum of \$18,000 per annum (inclusive of GST) unless agreed otherwise. \$ per annum (inclusive of GST)

This fee will be pro-rated based on the number of days in the month and deducted monthly.

OR

(c) Fixed Term Single Payment

\$ (inclusive of GST)

The total fee for the agreed term is deducted upfront from the Cash Account at the end of the first month. A maximum of \$18,000 per annum (inclusive of GST) applies, unless otherwise agreed.

Please select the services being provided in relation to the Fixed Term Arrangement – For financial adviser completion:

- | | |
|--|--|
| <input type="checkbox"/> Review of your account | <input type="checkbox"/> Contribution strategy |
| <input type="checkbox"/> Strategic super or pension advice | <input type="checkbox"/> Insurance in super strategy |
| <input type="checkbox"/> Investment advice on your account | <input type="checkbox"/> Withdrawal advice. |

Step 3: Client declaration and signature(s)

Important note: IIML collects the information in this form for the purpose of applying the requested advice fee(s) against your account. Any personal information provided in this form will be handled in accordance with IIML's privacy policy available at ioof.com.au/privacy.

- You authorise IIML to charge the advice fee selected against your account. This fee, if charged on your Super and Pension accounts is for advice you have or will receive about your super or pension benefits, insurance and investments.
- The amount of any advice fee(s) that are paid to your financial adviser, as agreed by you, will be an additional cost to you and charged against your account. An advice fee will not be charged unless you tell IIML to do so.
- Any agreed advice fee(s) will be charged to your account and paid in full to the financial adviser listed in this form, until you instruct IIML to cease payment, the fixed period ends, the one-off fee is paid or your ongoing fee consent expires.
- Where you have consented to pay a fixed-term advice fee arrangement as a single payment and the arrangement is terminated for any reason prior to the end of the nominated term, a refund for the unused period will be recovered from your adviser and credited to your Cash Account.
- Where you have consented to ongoing advice fees being deducted, you understand the consent for IIML to pay the ongoing fees to your adviser will cease on the at the earlier of:
 - the consent end date;
 - the date you terminate your ongoing fee arrangement; or
 - the day you give your financial adviser new valid consent in relation to the ongoing fee arrangement.
- You understand your consent for one-off fees to be deducted from your pension account prior to your pension commencing will cease at the earlier of when the one-off fee is paid or your pension commences.
- You understand your consent for a fixed term arrangement, will last until the earlier of:
 - the end date stated above;
 - the day you terminate your fixed-term arrangement; or
 - the day we process an update to your fixed-term arrangement where you have provided your adviser and IIML with a new consent.
- You understand you can withdraw your consent or vary the ongoing fee arrangement at any time by notice in writing to your adviser.
- You understand you can withdraw your consent at any time before the cost is passed on to you by contacting IIML before the fee is paid.
- If a super or pension account is referred to in this form (i.e., the superannuation interest) and that superannuation interest is transferred to another superannuation fund or to another product within the IOOF Portfolio Services Superannuation Fund, you agree that to the extent permitted by law, subject to the agreement of receiving the superannuation fund trustee and/or the rules of the receiving product:
 - you consent to the fee continuing to be deducted from your superannuation interest in that other fund, product or investment option until your consent expires as set out below;
 - in this consent:
 - a reference to your account includes both your existing account, and any subsequent account into which your superannuation interest is transferred;
 - a reference to the fund includes both the existing superannuation fund, and any other fund to which your superannuation interest is transferred; and
 - a reference to the trustee of your superannuation fund includes both the current trustee, and any future trustee holding your superannuation interest; and
 - you will treat this consent as being received by both your current trustee, and any future trustee holding your superannuation interest
- By signing this form, you consent to my financial adviser and/or the licensee named in Step 4, providing IIML (on its request) all the necessary documentation to support or substantiate the fee deduction from your IOOF account, including but not limited to advice documentation, and any other agreements or consent forms relating to the payment of advice fees.

Please note: If this form is signed under Power of Attorney, the Attorney declares that they have not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this form unless we have already received it and it has not been varied or revoked).

Member

This form must be signed within 90 days of the start date of the advice fee.

Signatory 1

Date

| | | | | | | | | | | | |
|--|--|--|---|--|--|--|---|--|--|--|--|
| | | | / | | | | / | | | | |
|--|--|--|---|--|--|--|---|--|--|--|--|

Full name

Step 4: Adviser Declaration (mandatory)

By arranging for the deduction of the advice fee detailed in this form, I confirm and agree to the matters set out below and understand my agreement is taken to be given from the date this form is submitted to IIML:

- The above advice fees have been fully explained to the applicant and any advice fees charged on the super or pension accounts relate to personal financial advice about the applicant's super or pension benefits, insurance and investments within the member's IOOF super or pension account.
- The above advice fees are equal to, or in any event do not exceed, the amount to be paid for the super advice provided to the member about their nominated IOOF super or pension account in the Fund.
- Fees under this form must relate only to the member's specific super or pension interest within the super fund. If you have both super and pension accounts, fees for pension advice cannot be deducted from your super account. I also confirm that if the split of services provided in relation to each account changes in the future that I will update the portion of advice fee charged to each account accordingly.
- Where a Fixed Term Single Payment fee arrangement is terminated for any reason prior to the end of the nominated term, a proportion of the advice fees I received may be required to be refunded to the client's Cash Account.
- Where the advice fee is being charged on a super or pension account, I confirm that the arrangement is not part of an early release scheme.
- Advice documentation has or will be made available to the member relating to this fee request;
- I am required to provide the advice services outlined in the agreement between myself and the member, and as detailed in this form;
- The advice fee charged is within the agreed limits contained in this form or any other agreement as amended or varied from time to time between the AFS Licensee named in Step 4 and IIML;
- IIML reserves the right to decline requests to deduct advice fee(s) from the member's IOOF account(s) (including any future requests) where required to comply with relevant laws or where the fee deduction is not permitted or inconsistent with any agreement between the AFS Licensee named in Step 4 and IIML; and
- Upon request from IIML, I will provide all the necessary documentation to support or substantiate the fee deduction from the member's IOOF account(s), including but not limited to advice documentation, and any other agreements or consent forms relating to the payment of advice fees.

| | | | |
|-------------------------|----------------------|----------------------|----------------------|
| Adviser name | <input type="text"/> | | |
| AFSL name | <input type="text"/> | | |
| AFS license number | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Adviser contact details | | | |
| Phone (bh) | <input type="text"/> | Phone (mobile) | <input type="text"/> |
| Email | <input type="text"/> | | |
| Business address | <input type="text"/> | | |
| Suburb | <input type="text"/> | State | <input type="text"/> |
| | | Postcode | <input type="text"/> |

Please forward all correspondence and enquiries to

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