



# IOOF PURSUIT

30 November 2019

## Transfer of Investments

Please use this form if you want to transfer listed or managed investments directly into the following product:

- **Pursuit Select Investment Service**

Please complete these instructions in **BLACK INK** using **CAPITAL LETTERS** and ✓ boxes where appropriate.

The transfer of investments into Pursuit Select can only occur where the beneficial owner remains unchanged. Once the securities are transferred they will be held in the name of the custodian, Australian Executor Trustees Limited (AETL) ABN 84 007 869 794 AFSL No. 240023, on behalf of the Service Operator. AETL is a related party of the Service Operator and is part of the IOOF group.

### To transfer investments into Pursuit Select Investment Service:

- The securities being transferred must be permitted with the Service and comply with the Service requirements.
- Where required you have 'opted in' to invest in the Direct Share Choice through Pursuit Select.

This means you **CAN** transfer any fully paid ordinary (FPO) and preference shares (non-convertible); stapled securities; ETFs; interest rate securities (non-convertible) and LICs listed on the ASX you hold into your Pursuit Select Investment Service account.

You **CANNOT** transfer any partially paid securities; convertible interest rate securities; convertible preference shares; hybrid securities; warrants; any other securities which are convertible in nature or any other security type into the Service unless previously agreed with IOOF.

### You must also complete the following documentation for each security being transferred:

- **In specie Transfer unrealised CGT parcel information**

The In specie Transfer unrealised CGT parcel information form is used to provide IOOF with details of the current tax parcel information for each investment you transfer into the Pursuit Select Investment Service. IOOF will use the information supplied to establish the cost base of the securities in our registry. The cost base will then be managed by IOOF, and used to report on tax events which arise through asset sales, corporate actions and dividend/distribution payments in respect of the investments transferred.

**It is your responsibility to ensure the information supplied to IOOF is correct and in the prescribed format. If you do not supply these details, or they are not supplied in the prescribed format, your transfer may not be processed.**

Alternatively, you can download the unrealised CGT parcel information spreadsheet (excel file) available from the Pursuit Select Investment Service Documents and Forms page at [www.ioof.com.au](http://www.ioof.com.au), complete and email to IOOF at [clientfirst@ioof.com.au](mailto:clientfirst@ioof.com.au).

Please note we will not upload the data you provide in this spreadsheet (excel file) without receipt of your signed declaration on this form.

- **Standard Transfer Form**

You must complete and supply to IOOF a Standard Transfer Form **per investment** you wish to transfer into your Pursuit Select Investment Service account. Examples of the sections which you are required to complete on the transfer form are contained later in this form.

## Step 1: Investor details

Investor account name

Investor address

Suburb  State  Postcode

### Part A – Individual Investor or Joint Investors

#### Investor 1

Title (Dr/Mr/Mrs/Ms/Miss)  Surname

Given name(s)

Date of birth  /  /

#### Investor 2 (if applicable)

Title (Dr/Mr/Mrs/Ms/Miss)  Surname

Given name(s)

Date of birth  /  /

### Part B – Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Unincorporated Club/Body, or Deceased Estate – (if applicable)

Name of entity/company/corporate trustee

Name of Trust

#### Name of Contact Person

Title (Dr/Mr/Mrs/Ms/Miss)  Surname

Given name(s)

## Step 2: Transfer details

Please select one:

I am transferring investments into a new account and have attached this form to the completed Application Form for Pursuit Select Investment Service.

**OR**

I am transferring investments into an existing Pursuit Select Investment Service account.

Account number



## Step 5: Authorisation and declaration

By signing the declaration below, I/we:

- a Request all investments detailed in Step 3 be transferred into my/our Pursuit Select Investment Service account (the 'Service');
- b Declare the processing of the transfers requested will not constitute a change of beneficial ownership;
- c Acknowledge any investments transferred into the Service will form part of my/our account balance. The terms and conditions relating to the ongoing administration of these holdings and associated fees and costs previously agreed in my/our application for the Service will apply to the transferred investments;
- d Acknowledge that the unrealised CGT parcel information I/we provide will be used by IOOF as a base for future tax reporting supplied by the Service.

### Part A – Individual Investor or Joint Investors

Signatory 1		Date	□□	/	□□	/	□□□□
Full name							
Signatory 2 (if applicable)		Date	□□	/	□□	/	□□□□
Full name							

### Part B – Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Unincorporated Club/Body or Deceased Estate – (if applicable)

Signatory 1		Date	□□	/	□□	/	□□□□
Title (such as Director/Sole Director/ Company Secretary/Trustee)							
Full name							
Signatory 2		Date	□□	/	□□	/	□□□□
Title (such as Director/Sole Director/ Company Secretary/Trustee)							
Full name							
Signatory 3 (for additional Trustees if applicable)		Date	□□	/	□□	/	□□□□
Title (if applicable)							
Full name							
Signatory 4 (for additional Trustees if applicable)		Date	□□	/	□□	/	□□□□
Title (if applicable)							
Full name							
Common seal (of company) if required							

## A guide to completing the Standard Transfer Form for transfers into Pursuit Select

The following is a guide on what sections you will need to complete on the Standard Transfer Form. If this information is not completed correctly, your transfer may be delayed or unable to be facilitated without further documentation.

<b>Full name of company or corporation</b>	Write the full name of the investment you wish to transfer. <b>Example:</b> IOOF Holdings Limited
<b>Register</b>	Please write the full name of the entity maintaining the registry for the security (if known).
<b>Quantity</b>	Please write in words and also in figures the amount of investments held. <b>Example:</b> One hundred and fifty eight 158
<b>Full name(s) of transferror(s)/(seller(s))</b>	You must write the name of the entity holding the investment on your behalf. This might be your name (for CHESS or Issuer Sponsored holdings) or the name of a custodian (for investments held in another investment platform). Where your security is held in custody by another party, you will generally need to forward the transfer form to that party for completion. <b>Example:</b> Joe Williamson ABC Investment Management Limited ACF ABC Platform
<b>HIN or SRN</b>	Circle HIN or SRN. A HIN will usually start with X and be followed by a series of numbers. A SRN will usually start with I and be followed by a series of numbers. <b>Example:</b> I00598745387 X1234567891
<b>Transferror(s)/(Seller(s)) sign and date</b>	Please ensure the seller signs and dates the transfer form. If the security is held in custody by another party, please check that they have signed and dated the form prior to returning to IOOF.

Please sign and return this form to:

**Post** GPO Box 264 Melbourne VIC 3001  
**Telephone** 1800 913 118  
**Email** clientfirst@ioof.com.au  
**Web** www.ioof.com.au  
**Service Operator** IOOF Investment Services Ltd ABN 80 007 350 405, AFSL 230703