

Pursuit Select Investment Service

Transfer of Listed Securities into Pursuit Select Investment Service

Please use this form if you want to transfer listed securities directly into your Pursuit Select Investment Service account.

The transfer of listed securities into Pursuit Select can only occur where the beneficial owner remains unchanged. Once the securities are transferred they will be held in the name of IOOF Investment Management Limited as the Service Operator of the Pursuit Select Investment Service on behalf of the beneficial owner.

To transfer listed securities into Pursuit Select Investment Service:

- You must have previously completed an 'opt in' to invest in Direct Share Choice through Pursuit Select; AND
- The securities being transferred must be permitted with the Service and comply with the Service requirements.

This means you **CAN** transfer any fully paid ordinary (FPO) and preference shares (non-convertible); stapled securities; ETFs; interest rate securities (non-convertible) and LICs listed on the ASX you hold into your Pursuit Select Investment Service account.

You **CANNOT** transfer any partially paid securities; convertible interest rate securities; convertible preference shares; hybrid securities; warrants; any other securities which are convertible in nature or any other security type into the Service unless previously agreed with IOOF.

You must also complete the following documentation for each security being transferred:

– In specie Transfer unrealised CGT parcel information

The In specie Transfer unrealised CGT parcel information form is used to provide IOOF with details of the current tax parcel information for each security you transfer into the Pursuit Select Investment Service. IOOF will use the information supplied to establish the cost base of the securities in our registry. The cost base will then be managed by IOOF, and used to report on tax events which arise through asset sales, corporate actions and dividend/distribution payments in respect of the securities transferred.

It is your responsibility to ensure the information supplied to IOOF is correct and in the prescribed format. If you do not supply these details, or they are not supplied in the prescribed format, your transfer may not be processed.

Alternatively, you can download the unrealised CGT parcel information spreadsheet (excel file) available from the Pursuit Select Investment Service Documents and Forms page at www.ioof.com.au, complete and email to IOOF at clientservices@ioof.com.au.

Please note we will not upload the data you provide in this spreadsheet (excel file) without receipt of your signed declaration on this form.

– Standard Transfer Form

You must complete and supply to IOOF a Standard Transfer Form **per security** you wish to transfer into your Pursuit Select Investment Service account. Examples of the sections which you are required to complete on the transfer form are contained later in this form.

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Please complete these instructions in **BLACK INK** using **CAPITAL LETTERS** and boxes where provided.

Step 1: Investor details

Investor account name

Investor address

Suburb State Postcode

PART A – INDIVIDUAL INVESTOR or JOINT INVESTORS

Investor 1

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Date of birth / /

Investor 2 (if applicable)

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Date of birth / /

PART B – CORPORATE INVESTOR (INCLUDING CORPORATE TRUSTEE), TRUST (INDIVIDUAL TRUSTEE(S)), SELF MANAGED SUPERANNUATION FUND, UNINCORPORATED CLUB/BODY or DECEASED ESTATE – (if applicable)

Name of entity/company/
corporate trustee

Name of trust

Name of contact person

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Step 2: Transfer details

Please select one:

I am transferring listed securities into a new account and have attached this form to the completed Application Form for Pursuit Select Investment Service.

OR

I am transferring listed securities into an existing Pursuit Select Investment Service account.

Account number – **D 5** –

A guide to completing the Standard Transfer Form for listed security transfers into Pursuit Select

The following is a guide on what sections you will need to complete on the Standard Transfer Form. If this information is not completed correctly, your transfer may be delayed or unable to be facilitated without further documentation.

Full name of company or corporation

Write the full name of the security you wish to transfer.
Example: IOOF Holdings Limited

Register

Please write the full name of the entity maintaining the registry for the security (if known).

Quantity

Please write in words and also in figures the amount of securities held.
Example: One hundred and fifty eight
158

Full name(s) of transferror(s)/(seller(s))

You must write the name of the entity holding the security on your behalf. This might be your name (for CHESSE or Issuer Sponsored holdings) or the name of a custodian (for securities held in another investment platform). Where your security is held in custody by another party, you will generally need to forward the transfer form to that party for completion.
Example: Joe Williamson
ABC Investment Management Limited
ACF ABC Platform

HIN or SRN

Circle HIN or SRN. A HIN will usually start with X and be followed by a series of numbers. A SRN will usually start with I and be followed by a series of numbers.
Example: I00598745387
X1234567891

Transferror(s)/(Seller(s)) sign and date

Please ensure the seller signs and dates the transfer form. If the security is held in custody by another party, please check that they have signed and dated the form prior to returning to IOOF.

Post to: Pursuit, Reply Paid 264, Melbourne VIC 8060

Enquiries: 1800 062 963

Facsimile: 1800 558 539

Trustee: IOOF Investment Management Limited (IOOF), ABN 53 006 695 021, AFS Licence No. 230524